SAS:dsh 8/15/06 4239-67021-06 566231 E-184-2002/0-US-03

**PATENT** Attorney Reference Number 4239-67021-06



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Klinman et al.

**Application No. 10/533,634** 

Filed: April 29, 2005 Confirmation No. 5041

For: METHOD OF PREVENTING

INFECTIONS FROM BIOTERRORISM

**AGENTS WITH** 

**IMMUNOSTIMULATORY CPG** 

**OLIGONUCLEOTIDES** 

Examiner: Not yet assigned.

Art Unit: 1648

Attorney Reference No. 4239-67021-06

Mail Stop Amendment COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment COMMISSIONER FOR PATENTS, P.O. BOX 1450. ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent for Applicant(s)

Date Mailed August 15, 2006

#### TRANSMITTAL LETTER

Enclosed for filing in the application referenced above are the following:

Information Disclosure Statement

Form 1449 and references cited thereon

The Director is hereby authorized to charge any additional fees that may be required, or

credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.

Please return the enclosed postcard to confirm that the items listed above have been

received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

One World Trade Center, Suite 1600 121 S.W. Salmon Street

Portland, Oregon 97204 Telephone: (503) 595-5300

Facsimile: (503) 595-5301

By

Susan Alpert Siegel, Ph.D.

Registration No. 43,121

cc: Docketing TRANSMITTAL - Page 1 of 1

AUG 2 4 2006 µ

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Klinman et al.

**Application No. 10/533,634** 

Filed: April 29, 2005 Confirmation No. 5041

For: METHOD OF PREVENTING

INFECTIONS FROM BIOTERRORISM

**AGENTS WITH** 

**IMMUNOSTIMULATORY CPG** 

**OLIGONUCLEOTIDES** 

Examiner: Not yet assigned.

Art Unit: 1648

Attorney Reference No. 4239-67021-06

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent for Applicant(s)\_\_\_\_\_

Date Mailed August 15, 2006

# INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)(3)

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants would like to also bring an interference proceeding to the attention of the Examiner (Proceedings No. 105,171), and pending U.S. Application No. 11/131,672, filed May 17, 2005; U.S. Application No. 09/818,918, filed May 27, 2001; U.S. Application No. 10/068,160, filed February 6, 2002; U.S. Application No. 10/486,755, filed February 12, 2004; U.S. Application No. 10/499,597, filed June 17, 2004; U.S. Application No. 10/679,710, filed October 3, 2003; U.S. Application No. 10/194,035, filed July 12, 2002; U.S. Application No. 09/958,713, filed October 7, 2002; U.S. Application No. 10/194,035, filed July 12, 2002; U.S. Application No. 09/136,138, filed August 18, 1998; U.S. Application No. 10/666,022, filed September 17, 2003.

Copies of United States patents and United States published patent applications do not have to be provided to the Patent Office (37 C.F.R. 1.98(a)(2)(ii)). Copies of unpublished U.S. applications do not have to be provided, as long as the application is available on PAIR, as this requirement of 37 C.F.R. § 1.98(a)(2)(iii) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on October 19, 2004 (1287 OG 163). Applicants will provide copies of such patents or applications upon request.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this IDS, deposit account authority is given on the accompanying transmittal letter.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204

Telephone: (503) 595-5300

Facsimile: (503) 595-5301

By

Susan Alpert Siegel, Ph.D. Registration No. 43,121

Attorney Docket Number	4239-67021-06
Application Number	10/533,634
Filing Date	April 29, 2005
First Named Inventor	Klinman
Art Unit	1648
Examiner Name	

### **U.S. PATENT DOCUMENTS**

Copies of U.S. Patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

Examiner's Initials*	Cite No. (optional)	Number	Publication Date	Name of Applicant or Patentee
		6,194,388	2/27/2001	Krieg, et al.
		6,207,646	3/27/2001	Krieg, et al.
		6,214,806	4/10/2001	Krieg, et al.
		6,218,371	4/17/2001	Krieg, et al.
		6,239,116	5/29/2001	Krieg, et al.
		6,339,068	1/15/2002	Krieg, et al.
		6,406,705	6/18/2002	Davis, et al.
		6,423,539	7/23/2002	Fong, et al.
		6,428,788	8/6/2002	Debinski, et al.
		6,429,199	8/6/2002	Krieg, et al.
		6,498,148	12/24/2002	Raz
		6,514,948	2/4/2003	Raz, et al.
		6,534,062	3/18/2003	Krieg, et al.
		6,552,006	4/22/2003	Raz, et al.
		6,562,798	5/13/2003	Schwartz
		6,589,940	7/8/2003	Raz, et al.

EXAMINER	DATE
SIGNATURE:	CONSIDERED:

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

Attorney Docket Number	4239-67021-06
Application Number	10/533,634
Filing Date	April 29, 2005
First Named Inventor	Klinman
Art Unit	1648
Examiner Name	

#### **U.S. PATENT DOCUMENTS**

Copies of U.S. Patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

Examiner's Initials*	Cite No. (optional)	Number	Publication Date	Name of Applicant or Patentee
		6,610,661	8/26/2003	Carson, et al.
		6,613,751	9/2/2003	Raz, et al.
		6,653,292	11/25/2003	Krieg, et al.
		2001-0034330	10/25/2001	Kensil
		2001-0036462	11/1/2001	Fong, et al.
		2001-0044416	11/22/2001	McCluskie, et al.
-		2001-0046967	11/29/2001	Van Nest
		2002-0006403	1/17/2002	Yu, et al.
		2002-0028784	3/7/2002	Van Nest
		2002-0042383	4/11/2002	Yew, et al.
		2002-0042387	4/11/2002	Raz, et al.
	<u> </u>	2002-0055477	5/9/2002	Van Nest, et al.
		2002-0064515	5/30/2002	Krieg, et al.
		2002-0065236	5/30/2002	Yew, et al.
		2002-0086295	7/4/2002	Raz, et al.
		2002-0086839	7/4/2002	Raz, et al.

EXAMINER	DATE
SIGNATURE:	CONSIDERED:

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

SAS-deh	08/15/06	4239-67021-06	506065	E-184-2002/0-US-0

Attorney Docket Number	4239-67021-06
Application Number	10/533,634
Filing Date	April 29, 2005
First Named Inventor	Klinman
Art Unit	1648
Examiner Name	

#### U.S. PATENT DOCUMENTS

Copies of U.S. Patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

Examiner's Initials*	Cite No. (optional)	Number	Publication Date	Name of Applicant or Patentee
		2002-0090724	7/11/2002	Taylor, et al.
		2002-0091095	7/11/2002	Phillips, et al.
		2002-0091097	7/11/2002	Bratzler, et al.

FOREIGN PATENT DOCUMENTS					
Examine r's Initials*	Cite No. (optio nal)	Country	Number	Publication Date	Name of Applicant or Patentee
		EPO	EP 1 198 249	4/24/2002	
		WIPO	WO 92/18522	10/29/1992	
		WIPO	WO 94/19945	9/15/1994	
		WIPO	WO 97/28259	8/7/1997	
		WIPO	WO 99/11275	3/11/1999	
<u>-</u>		WIPO	WO 00/06588	2/0/2000	
		WIPO	WO 00/20039	4/13/2000	
· · · · · · · · · · · · · · · · · · ·		WIPO	WO 00/21556	4/20/2000	
		WIPO	WO 00/61151	10/19/2000	

EXAMINER	DATE
SIGNATURE:	CONSIDERED:

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

Attorney Docket Number	4239-67021-06
Application Number	10/533,634
Filing Date	April 29, 2005
First Named Inventor	Klinman
Art Unit	1648
Examiner Name	

		F	OREIGN PATEN	T DOCUMENTS	
Examine r's Initials*	Cite No. (optio nal)	Country	Number	Publication Date	Name of Applicant or Patentee
		WIPO	WO 00/62787	10/26/2000	
		WIPO	WO 00/67023	11/9/2000	
		WIPO	WO 01/00232	1/4/2001	
		WIPO	WO 01/02007	1/11/2001	
		WIPO	WO 01/12223	2/22/2001	
		WIPO	WO 01/22990	4/5/2001	
		WIPO	WO 01/51500	7/19/2001	
		WIPO	WO 01/55341	8/2/2001	
		WIPO	WO 01/68077	9/20/2001	
		WIPO	WO 01/68103	9/20/2001	
		WIPO	WO 01/68116	9/20/2001	
		WIPO	WO 01/68117	9/20/2001	

EXAMINER	DATE
SIGNATURE:	CONSIDERED:

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

SIGNATURE:

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number	4239-67021-06
Application Number	10/533,634
Filing Date	April 29, 2005
First Named Inventor	Klinman
Art Unit	1648
Examiner Name	

Examine r's Initials*	Cite No. (optio nal)	O	THER DOCUMENTS
			ementary to c-myb-encoded mRNA inhibits proliferation of Proc. Natl. Acad. Sci. USA 86:3379-3383 (1989).
		BAUER, et al., "Bacterial CpG-DNA T CD123+ Dendritic Cells". J. Immunol.	riggers Activation and Maturation of Human CD11c-, 166:5000-5007 (2001).
			of a G-tetrad and higher order structures correlates with 65) 'antisense' oligodeoxynucleotide". Nucleic Acids
			modulation of immune stimulation by modified d Drug Dev. 7(5):461-471 (1997).
		BRANDA, et al., "Amplification of antiloligodeoxynucleotides". J. Lab Clin. N	
		CHU, et al., "CpG oligodeoxynucleotic immunity". J. Exp. Med. 186(10):1623	des act as adjuvants that switch on T helper 1 (Th1) -1631 (1997).
			G motifs trigger a T Helper-1 immune response to Human 1) gp160 envelope protein". Clin. Chem. Lab. Med.
			ucleotides are inhibitors of human DNA polymerases and echnology". Mol. Pharmacol. 41:223-229 (1992).
		GURSEL, et al., "Differential and Com Classes of CpG Oligodeoxynucleotide	npetitive Activation of Human Immune Cells by Distinct e". J. Leuko. Biol. 71:813-820 (2002).
			ices murine interferon-gamma production by stimulation of tor-alpha". Cell Immunol. 167(1):72-78 (1996).
		Vascular Endothelial Growth Factor, 1	ected to Carcinoma Cells Suppresses the Expression of Fransforming Growth Factor β, and Tissue Factor and Also Cancer Research 60:6531-6536 (2000).
			of an antisense phosphorothioate oigodeoxynucleotide iency virus type 1 in the adult male rat following single ntisense Res. Dev. 4:43-52 (1994).
			uppression of the Allergic Immune Response to Bee Venom in CBA/J Mice". J. Immunol. 166:3612-3621 (2001).
		Respectively, Stimulate CD11c- Type Produce Type I IFN". J. Immunol. 166	
		immunoprotective activity and safety".	nate immune system by CpG oligodeoxynucleotides: Springer Semin. Immunopathol. 22:173-183 (2000).
		KRIEG, et al., "CpG motifs in bacteria 20:709-760 (2002).	I DNA and their immune effect". Annu. Rev. Immunol.
EXAMINER			DATE

\* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

**CONSIDERED:** 

Attorney Docket Number	4239-67021-06
Application Number	10/533,634
Filing Date	April 29, 2005
First Named Inventor	Klinman
Art Unit	1648
Examiner Name	

KRIEG, et al., "Brief Communication: Oligodeoxynucleotide Modifications Determine the Magnitude of B-Cell Stimulation by CpG Motifs". Antisense & Nucleic Acid Drug Development 6:133-139 (1996).
KRIEG, et al., "Leukocyte stimulation by oligodeoxynucleotides". Applied Antisense Oligonucleotide Tech. (BOOK):431-448 (1998).
KRIEG, et al., "CpG DNA: A pathogenic factor in systemic lupus erythematosus?". J. Clin. Immunol. 15(6):284-292 (1995).
KRIEG, et al., "A role for endogenous retroviral sequences in the regulation of lymphocyte activation". J. Immunol. 143(8):2448-2451 (1989).
KRIEG, et al., "CpG motifs in bacterial DNA trigger direct B-cell activation". Nature 374:546-549 (1995).
KRUG, et al., "Identification of CpG Oligonucleotide Sequences with High Induction of IFN-α/β in Plasmacytoid Dendritic Cells". Eur. J. Immunol. 31:2154-2163 (2001).
KRUG, et al., "Toll-like Receptor Expression Reveals CpG DNA as a Unigue Microbial Stimulus for Plasmacytoid Dendritic Cells Which Synergizes With CD40 Ligand to Induce High Amounts of IL-12". Eur. J. Immunol. 31:3026-3037 (2001).
KURAMOTO, et al., "Oligonucleotide sequences required for natural killer cell activation". Jpn. J. Cancer Res. 83:1128-1131 (1992).
LANG, et al., "Guanosine-rich oligodeoxynucleotides induce proliferation of macrophage progenitors in cultures of murine bone marrow cells". Eur. J. Immunol. 29:3496-3506 (1999).
LAPATSCHEK, et al., "Activation of Macrophages and B Lymphocytes by an Oligodeoxynucleotide Derived from an Acutely Pathogenic Simian Immunodeficiency Virus". Antisense Nucleic Acid Drug Dev. 8(5):357-370 (1998).
MALTESE, et al., "Sequence context of antisense RelA/NF-kB phohphorothioates determines specificity". Nucleic Acids Research 23(7):1146-1151 (1995).
MANZEL, et al., "Lack of Immune Stimulation by Immobilized CpG-oligonucletide". Antisense & Nucleic Acid Drug Development 9(5):459-464 (1999).
MATSON, et al., "Nonspecific suppression of [3H]thymidine incorporation by control oligonucleotides". Antisense Res. Dev. 2(4):325-330 (1992).
MCINTYRE, et al., "A sense phosphorothioate oligonucleotide directed to the initiation codon of transcription factor NF-kappa B p65 causes sequence-specific immune stimulation". Antisense Res. Dev. 3(4):309-322 (1993).
PISETSKY, "Immunological consequences of nucleic acid therapy". Antisense Res. Dev. 5:219-225 (1995).
PRASAD, et al., "Oligonucleotides Tethered to a Short Polyguanylic Acid Stretch are Targeted to Macrophages: Enhanced Antiviral Activity of a Vesicular Stomatitis Virus-Specific Antisense Oligonucleotide". Antimicrobial Agents and Chemotherapy 43(11):2689-2696 (Nov. 1999).
RAZ, et al., "Intradermal gene immunization: the possible role of DNA uptake in the induction of cellular immunity to viruses". Proc. Natl. Acad. Sci. USA 91:9519-9523 (1994).
ROMAN, et al., "Immunostimulatory DNA sequences function as T helper-1-promoting aduvants". Nature Med. 3(8):849-854 (1997).

EXAMINER	DATE
SIGNATURE:	CONSIDERED:

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

Attorney Docket Number	4239-67021-06
Application Number	10/533,634
Filing Date	April 29, 2005
First Named Inventor	Klinman
Art Unit	1648
Examiner Name	

<del></del>	
	SCHWARTZ, et al., "CpG motifs in bacterial DNA cause inflammation in the lower respiratory tract". J. Clin. Invest. 100(1):68-73 (1997).
	STACEY, et al., "Immunostimulatory DNA as an adjuvant in vaccination against Leishmania major". Infect. Immun. 67:3719-3726 (1999).
1	TOKUNAGA, et al., "A synthetic single-stranded DNA, poly(dG, dC), induces interferon-α/β and -γ, augments natural killer activity and suppresses tumor growth". Jpn. J. Cancer Res. 79:682-686 (1988).
	TOKUNAGA, et al., "Synthetic oligonucleotides with particular base sequences from the cDNA encoding proteins of Mycobacterium bovis BCG induce interferons and activate natural killer cells". Microbiol. Immunol. 36(1):55-66 (1992).
	VERTHELYI, et al., "Human Peripheral Blood Cells Differentially Recognize and Respond to Two Distinct CpG Motifs". J. Immunol. 166:2372-2377 (2001).
	VERTHELYI, et al., "CpG Oligodeoxynucleotides as Vaccine Adjuvants in Primates". J. Immunol. 168:1659-1663 (2002).
	WEINER, et al., "Immunostimulatory oligodeoxynucleotides containing the CpG motif are effective as immune adjuvants in tumor antigen immunization". Proc. Natl. Acad. Sci. USA 94:10833-10837 (1997).
	YAMAMOTO, et al., "Ability of oligonucleotides with certain palindromes to induce interferon production and augment natural killer cell activity is associated with their base length". Antisense Res. Dev. 4:119-123 (1994).
	YAMAMOTO, "Unique palindromic sequences in synthetic oligonucleotides are required to induce inf and augment INF-mediated natural killer activity". J. Immunol. 148(12):4072-4076 (1992).
	YAMAMOTO, et al., "Synthetic oligonucleotides with certain palindromes stimulate interferon production of human peripheral blood lymphocytes in vitro". Jpn. J. Cancer Res. 85:775-779 (1994).
	YAMAMOTO, et al., "Mode of action of oligonucleotide fraction extracted from Mycobacterium bovis BeG". Kekkaku 69(9):29-32 (1994).
	YAMAMOTO, et al., "Lipofection of synthetic oligodeoxyribonucleotide having a palindromic sequence AACGTT to murine splenocytes enhances interferon production and natural killer activity". Microbiol. Immunol. 38(10):831-836 (1994).
	YASWEN, et al., "Effects of Sequence of Thioated Oligonucleotides on Cultured Human Mammary Epithelial Cells". Antisense Research and Development 3:67-77 (1993).
	YI, et al., "IFN-γ promotes IL-6 and 1gM secretion in response to CpG motifs in bacterial DNA and oligonucleotides". J. Immunol. 156:558-564 (1996).
	ZELPHATI, et al., "Inhibition of HIV-1 Replication in Cultured Cells with Antisense Oligonucleotides Encapsulated in Immunoliposomes". Antisense Res. Dev. 3:323 (1993).
	Decision of Interference No. 105,171, The Regents of California <i>verus</i> University of Iowa, Coley Pharmaceutical Group, Inc. and The United States of America. July 17, 2006.

|--|

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.